



15W 2131/4
PATENT
Customer No. 22,852
Attorney Docket No. 7451.0034-00
Intertrust Ref. No.: IT-36.1(US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Stephen P. WEEKS et al.) Group Art Unit: 2131
)
Application No.: 09/863,199) Examiner: TRUONG, Thanhnga B.
)
Filed: May 21, 2001)
)
For: TRUST MANAGEMENT) Confirmation No.: 8932
SYSTEMS AND METHODS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign documents are attached. Copies of the U.S. patent publications are not enclosed.

07/29/2005 SZEWDIE1 00000082 09863199

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180.00 DP

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: July 29, 2005

By: 
Andrew B. Schwaab
Reg. No. 38,611

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Application Number

09/863,199

Filing Date

May 21, 2001

First Named Inventor

WEEKS, Stephen T.

Art Unit

2135

Examiner Name

TROUNG, Thanhnga B.

Attorney Docket Number

7451.0034-00

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
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		US-5,050,213	09-17-1991	Shear	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Sheet	2	of	2	Application Number	09/863,199
				Filing Date	May 21, 2001
				First Named Inventor	WEEKS, Stephen T.
				Art Unit	2135
				Examiner Name	TROUNG, Thanhnga B.
				Attorney Docket Number	7451.0034-00

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	US-2003-0069748-A1	04-10-2003	Shear et al.	
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

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Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 96/27155	09-06-1996	Ginter et al.		
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		WO 99/24928 (Equivalent to U.S. Patent No. 6,112,181)	05-20-1999	Shear et al.		
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		WO 01/09702	02-08-2001	Strohwig et al.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
Examiner Signature		Date Considered	

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